PTO-1390 (Rev. 02-2005)

Approved for use through 03/31/2007. OMB 0651-0021 U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number ATTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES 13311-00010-US DESIGNATED/ELECTED OFFICE (DO/EO/US) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/EP2004/000100 9 January 2004 9 January 2003 METHOD FOR THE GENETIC MODIFICATION OF ORGANISMS OF THE GENUS TITLE OF INVENTION BLAKESLEÁ, CORRESPONDING ORGANISMS, AND THE USE OF THE SAME APPLICANT(S) FOR DO/EO/US Markus Matuschek et al. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 2. This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must 3. include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) х is attached hereto (required only if not communicated by the International Bureau). a. b. has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). C Х An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)). a. X is attached hereto. b. has been previously submitted under 35 U.S.C. 154(d)(4). 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) a. are attached hereto (required only if not communicated by the International Bureau). b. have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. C. d. have not been made and will not be made. 8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). 9. An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT 10 Article 36 (35 U.S.C. 371 (c)(5)). Items 11 to 20 below concern document(s) or information included: 11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12 An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. A preliminary amendment. 14. An Application Data Sheet under 37 CFR 1.76. 15. A substitute specification. 16. A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. 17. 18. A second copy of the published International Application under 35 U.S.C. 154(d)(4). A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 19 Copy, Form PCT/ISA/210Forms PCT/IPEA/409 and 408; Form PCT/ISA/237; PCT Request, 20. Other items or information: PCT/IB/306 (2 pages), Statement To Support Filing And Submission In Accordance With 37 CFR §§1.821 Through 1.825, Disk and Paper copy of Sequence Listing, Postcard, Certificate of Express

JC14 Rec'd PCT/PTO 0 8 JUL 2005

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U.S. APPLICATION NO (BANGWIN, SPE 37CFS) ST. INTERNATIONAL APPLICATION NO.						ATTORNEY'S DOCKET NUMBER		
101/21 2004/300100					0100	13311-00010-US		
The following fees have been submitted						L	CALCULATIONS	PTO USE ONLY
21. x Basic national fee\$300							300.00	
22. x Examination fee								
If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)\$100								
All other situations \$200						\$	200.00	
23. x Search fee						Γ		
	CFR 1.445(a)(2)) ha							
USPTO as an International Searching Authority								
All other situations\$500							400.00	
TOTAL OF 21, 22 and 23 =							900.00	
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.								
Total Sheets Extra sheets			Number of each additional 50 or fraction thereof (round up to a whole number RATE			1		
87 - 100 = /50 =		uleieoi	x \$250.00			1		
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months						s		
from the earliest claimed priority date (37 CFR 1.492 (e)). CLAIMS NUMBER FILED NUMBER EXTRA RATE						۲		
CLAIMS Total claims			NUMBER EXTRA 20		50.00	\$	1.000.00	
	Total claims 40- 20 = dependent claims 1 - 3 =		20	x			0.00	
MULTIPLE DEPENDENT CLAIM(s) (if applicable)			ble)	+			0.00	
TOTAL OF ABOVE CALCULATIONS =							1,900.00	
Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.								
SUBTOTAL =							1,900.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months						\$ \$	1,000.00	
from the earliest claimed priority date (37 CFR 1.492 (f)).						Ľ.		
TOTAL NATIONAL FEE =						\$	1,900.00	
Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property						\$		
TOTAL FEES ENCLOSED =							1,900.00	
							mount to be	\$
							funded: mount to be	
							narged:	\$
a. A check in the amount of \$ to cover the above fees is enclosed.								
b. X Please charge my Deposit Account No. 03-2775 in the amount of \$ 1,900.00								
to cover the above fees. A duplicate copy of this sheet is enclosed.								
c. x The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any								
overpayment to Deposit Account No. 03-2775 . A duplicate copy of this sheet is enclosed.								
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card								
information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b))								
must be filed and granted to restore the International Application to pending status.								
SEND ALL CORRESPONDENCE TO:								
SIGNATURE:								
Roberte M. D. Makowski, Ph.D.								
NAME								
CUSTOMER NUMBER: 23416							,421	
REGISTRATION N							<u> </u>	
]								

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Application No. (if known): Not Yet Assigned

Attorney Docket No.: 13311-00010-US

Certificate of Express Mailing Under 37 CFR 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail, Airbill No. EV622756172US in an envelope addressed to:

MS PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

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Tina R. Hall
Typed or printed name of person signing Certificate

(302) 658-9141

Registration Number, if applicable Telephone Number

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Transmittal Letter to the United States Designated-Elected Office

Fee Transmittal Sheet Application Data Sheet

Copy of the International application with search report attached

Copy of Form PCT/ISA/210

Forms PCT/IPEA/409 and 408; Form PCT/ISA/237

PCT Request; PCT/IB/306 (2 pages)

English language translation of the International application

13 sheets of drawings

Statement To Support Filing And Submission In Accordance With 37 CFR §§1.821

Through 1.825

Disk/Paper copy of computer readable Sequence Listing Information Disclosure Statement, SB08, with references

Preliminary Amendment

Postcard

Charge \$1,900.00 to deposit account 03-2775

JC14 Rec'd PCT/PTO 0 8 JUL 2005

PTO/SB/17 (12-04v2)

Approved for use through 7/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no person are required to respond to a collection of information unless it displays a valid OMB control number. Complete if Known Effective on 12/08/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). Not Yet Assigned **Application Number** FEE TRANSMITTAL Concurrently Herewith Filing Date Markus Matuschek First Named Inventor For FY 2005 **Examiner Name** Not Yet Assigned Applicant claims small entity status. See 37 CFR 1.27 N/A Art Unit TOTAL AMOUNT OF PAYMENT 1,900.00 13311-00010-US Attorney Docket No. METHOD OF PAYMENT (check all that apply) Credit Card Money Order Check None Other (please identify): Deposit Account Deposit Account Number: 03-2775 Deposit Account Name: Connolly Bove Lodge & Hutz LLP For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee Charge any additional fee(s) or underpayment of х x Credit any overpayments fee(s) under 37 CFR 1.16 and 1.17 **FEE CALCULATION** 1. BASIC FILING, SEARCH, AND EXAMINATION FEES **FILING FEES EXAMINATION FEES** SEARCH FEES **Small Entity Small Entity** Small Entity **Application Type** Fee (\$) Fee (\$) Fee (\$) Fee (\$) Fee (\$) Fees Pald (\$) Fee (\$) Utility 300 150 500 250 200 100 900.00 Design 200 100 100 50 130 65 Plant 200 100 300 150 160 80 Reissue 300 150 500 250 600 300 Provisional 200 100 O 2. EXCESS CLAIM FEES **Small Entity** Fee (\$) Fee (\$) Fee Description Each claim over 20 (including Reissues) 50 25 Each independent claim over 3 (including Reissues) 200 100 Multiple dependent claims 180 360 **Total Claims** Extra Claims Fee Paid (\$) Multiple Dependent Claims x 50.00 1,000.00 Fee (\$) Fee Paid (\$) Indep. Claims Extra Claims Fee Paid (\$) 3. APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). Number of each additional 50 or fraction thereof Fee Paid (\$) Extra Sheets Fee (\$) - 100 = (round up to a whole number) x 4. OTHER FEE(S) Fees Pald (\$) Non-English Specification, \$130 fee (no small entity discount) Other (e.g., late filing surcharge): 1642 National Stage Search Fee - search report ... 400.00 1633 National Stage Examination Fee - all other .. 200.00 SUBMITTED BY Registration No. Signature 55,421 (302) 658-9141 Telephone (Attorney/Agent) Name (Print/Type) Roberte M. D. Makowski, Ph.D. Date July 8, 2005